

**PCT REQUEST**

Original (for SUBMISSION )

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| 0       | <b>For receiving Office use only</b>   |  |
| 0-1     | International Application No.  |  |
| 0-2     | International Filing Date  |  |
| 0-3     | Name of receiving Office and "PCT International Application"   |  |
| 0-4     | <b>Form PCT/RO/101 PCT Request</b>   |  |
| 0-4-1   | Prepared Using   | <b>PCT-SAFE [EASY mode]<br/>Version 3.50 (Build 0002.162)</b>  |
| 0-5     | <b>Petition</b><br><br>The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty |  |
| 0-6     | Receiving Office (specified by the applicant)  | <b>United States Patent and Trademark Office (USPTO) (RO/US)</b>   |
| 0-7     | Applicant's or agent's file reference  | <b>X16357</b>  |
| I       | Title of Invention   | <b>6-(2,2,2-TRIFLUOROETHYLAMINO)-7-CHLORO-2,3,4,5-TETRAHYDRO-1H-BENZO[d]AZEPINE AS A 5-HT2C RECEPTOR AGONIST</b> |
| II      | <b>Applicant</b>   |  |
| II-1    | This person is   | <b>applicant only</b>  |
| II-2    | Applicant for  | <b>all designated States except US</b>   |
| II-4    | Name   | <b>ELI LILLY AND COMPANY</b>   |
| II-5    | Address  | <b>Lilly Corporate Center<br/>Indianapolis, Indiana 46285<br/>United States of America</b>                       |
| II-6    | State of nationality   | <b>US</b>  |
| II-7    | State of residence   | <b>US</b>  |
| II-8    | Telephone No   | <b>317-433-9829</b>  |
| II-9    | Facsimile No.  | <b>317-276-3861</b>  |
| III-1   | <b>Applicant and/or inventor</b>   |  |
| III-1-1 | This person is   | <b>applicant and inventor</b>  |
| III-1-2 | Applicant for  | <b>US only</b>   |
| III-1-4 | Name (LAST, First)   | <b>GALKA, Christopher, Stanley</b>   |
| III-1-5 | Address  | <b>13690 North Stone Haven Drive<br/>Carmel, Indiana 46033<br/>United States of America</b>                      |
| III-1-6 | State of nationality   | <b>US</b>  |
| III-1-7 | State of residence   | <b>US</b>  |

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| III-2   | <b>Applicant and/or inventor</b>   |   |
| III-2-1 | This person is   | <b>applicant and inventor</b>   |
| III-2-2 | Applicant for  | <b>US only</b>  |
| III-2-4 | Name (LAST, First)   | <b>RODRIGUEZ, Michael, John</b>   |
| III-2-5 | Address  | <b>7649 Gordonshire Court<br/>Indianapolis, Indiana 46278<br/>United States of America</b>                        |
| III-2-6 | State of nationality   | <b>US</b>   |
| III-2-7 | State of residence   | <b>US</b>   |
| IV-1    | <b>Agent or common representative; or address for correspondence</b><br><br>The person identified below is hereby/<br>has been appointed to act on behalf of<br>the applicant(s) before the competent<br>International Authorities as:   | <b>agent</b>  |
| IV-1-1  | Name (LAST, First)   | <b>TUCKER, R., Craig</b>  |
| IV-1-2  | Address  | <b>ELI LILLY AND COMPANY<br/>P. O. Box 6288<br/>Indianapolis, Indiana 46206-6288<br/>United States of America</b> |
| IV-1-3  | Telephone No.  | <b>317-433-9829</b>   |
| IV-1-4  | Facsimile No   | <b>317-276-3861</b>   |
| IV-1-6  | Agent's registration No.   | <b>45,165</b>   |
| IV-2    | <b>Additional agent(s)</b>   | <b>additional agent(s) with same address as<br/>first named agent</b>   |
| IV-2-1  | Name(s)  | <b>GAYLO, Paul, J. (36,808)</b>   |
| V       | <b>DESIGNATIONS</b>  |   |
| V-1     | The filing of this request constitutes under Rule 4.9(a), the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents. |   |
| VI-1    | <b>Priority claim of earlier national application</b>  |   |
| VI-1-1  | Filing date  | <b>11 August 2003 (11.08.2003)</b>  |
| VI-1-2  | Number   | <b>60/494,208</b>   |
| VI-1-3  | Country  | <b>US</b>   |
| VI-2    | <b>Priority document request</b><br><br>The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) identified above as item(s):  | <b>VI-1</b>   |

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3/10

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| VII-1  | International Searching Authority Chosen   | <b>European Patent Office (EPO) (ISA/EP)</b> |  |
| VIII   | <b>Declarations</b>  | Number of declarations                       |  |
| VIII-1 | Declaration as to the identity of the inventor   | -  |  |
| VIII-2 | Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent             | 1  |  |
| VIII-3 | Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application | 1  |  |
| VIII-4 | Declaration of inventorship (only for the purposes of the designation of the United States of America)                               | 1  |  |
| VIII-5 | Declaration as to non-prejudicial disclosures or exceptions to lack of novelty   | -  |  |

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| VIII-2-1          | <p><b>Declaration: Entitlement to apply for and be granted a patent</b><br/>           Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent (Rules 4.17(ii) and 51bis.1(a)(ii)), in a case where the declaration under Rule 4.17(iv) is not appropriate:<br/>           Name (LAST, First)</p> | <p>in relation to this international application</p> <p><b>ELI LILLY AND COMPANY is entitled to apply for and be granted a patent by virtue of the following:</b></p> |
| VIII-2-1(i)<br>i) |  | <b>ELI LILLY AND COMPANY is entitled as employer of the inventor, GALKA, Christopher, Stanley</b>   |
| VIII-2-1(i)<br>i) |  | <b>ELI LILLY AND COMPANY is entitled as employer of the inventor, RODRIGUEZ, Michael, John</b>  |
| VIII-2-1(i)<br>x) | This declaration is made for the purposes of.  | <b>all designations except the designation of the United States of America</b>  |

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| VIII-3-1          | <p><b>Declaration: Entitlement to claim priority</b><br/>           Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application specified below, where the applicant is not the applicant who filed the earlier application or where the applicant's name has changed since the filing of the earlier application (Rules 4.17(iii) and 51bis.1(a)(iii))</p> <p>Name</p> | <p>in relation to this international application</p> <p><b>ELI LILLY AND COMPANY</b><br/>           is entitled to claim priority of earlier application No. 60/494,208 by virtue of the following:</p>   |
| VIII-3-1(i)<br>v) |  | an assignment from GALKA, Christopher, Stanley to ELI LILLY AND COMPANY, dated 15 October 2003 (15.10.2003)   |
| VIII-3-1(i)<br>v) |  | an assignment from RODRIGUEZ, Michael, John to ELI LILLY AND COMPANY, dated 15 October 2003 (15.10.2003)  |
| VIII-3-1(i)<br>x) | This declaration is made for the purposes of:  | AP: (BW GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW ); EA: (AM AZ BY KG KZ MD RU TJ TM ); EP: (AT BE BG CH&LI CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL PL PT RO SE SI SK TR ); OA: (BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG ); AE AG AL AM AT AU AZ BA BB BG BR BW BY BZ CA CH& LI CN CO CR CU CZ DE DK DM DZ EC EE EG ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NA NI NO NZ OM PG PH PL PT RO RU SC SD SE SG SK SL SY TJ TM TN TR TT TZ UA UG UZ VC VN YU ZA ZM ZW |